European Food Safety Authority

Article 36 Cooperation

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Advisory Forum and Scientific Cooperation Unit

Finish Focal Point Meeting

23 May 2011, Helsinki

The presentation

- The Article 36 list and calls
- The application process
- The new IT tools

- **The Article 36 list and calls**
- The application process
- The new IT tools

Networking under Article 36

Article 36 of EFSA's Founding Regulation (EC) No 178/2002 states:

EFSA *"…shall promote the European networking of organisations operating in the fields within the Authority's mission…"*

Implementing rules – Commission Regulation (EC) No 2230/2004

- **List of competent organisations**

Article 36 list

- These may **undertake work on behalf of EFSA**, *e.g.*:
  - -data collection;
  - -preparatory work;
  - -other scientific and technical support

Financial support may be provided in the form of ***grants***

Article 36 calls

Article 36 organisations

- Designated by Permanent Representations of Member States
- Based on defined criteria (Regulation (EC)2230/2004)
  - "**scientific and technical support tasks**"
  - "**must be legal entities pursuing public interest objectives…independence and integrity.**"
  - "**high level of scientific or technical expertise**"
  - "**capacity to operate in a network**"
- EFSA Questionnaire to assist MS (and EFSA) with the selection of designated organisations - now in Art36 Database: http://www.efsa.europa.eu/art36/registration

Article 36 list

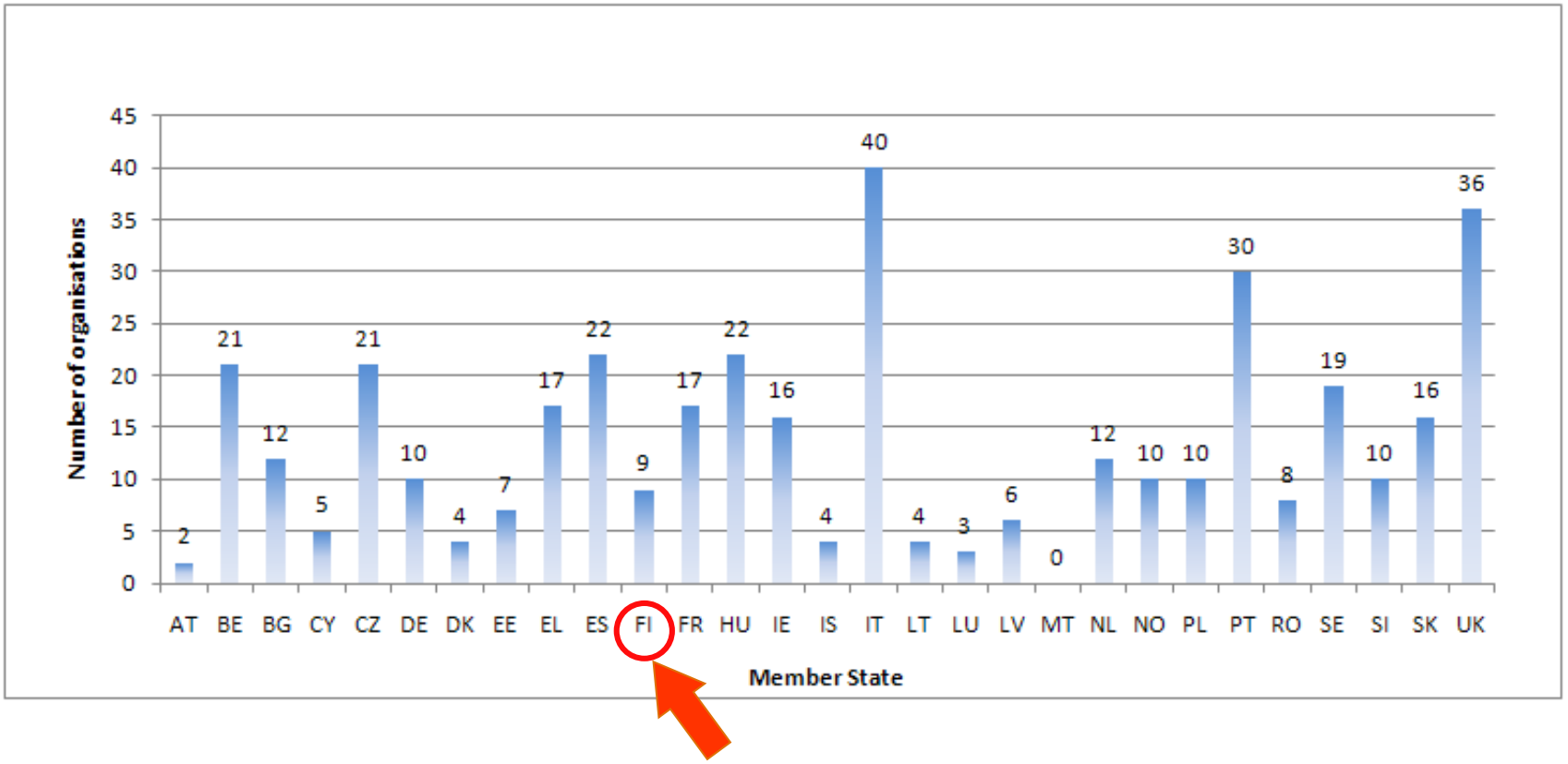
- EFSA prepares the decision for the Management Board
- EFSA's Management Board adopts the list
- A "living list" to be regularly updated

Applicants for grants must be on the Art 36 list

- Nearly 400 organisations from 28 countries are currently on the list

Article 36 list – Member States

Entries on the Article 36 list – breakdown by Member State



Article 36 - Finland

1. Radiation and Nuclear Safety Authority (STUK)
2. Finnish Food Safety Authority (EVIRA)
3. Finnish Environment Institute (SYKE)
4. University of Helsinki
    1. Faculty of Veterinary Medicine
    2. Department of Food and Environmental Sciences
5. University of Kuopio, Institute of Applied Biotechnology
6. Aalto University School of Science and Technology, Department of Biotechnology and Chemical Technology
7. National Institute for Health and Welfare (THL)
8. MTT Agrifood Research Finland
9. University of Turku, Functional Foods Forum

“To do-list” for Art 36 organisations

- Should ensure that the information submitted to EFSA (in questionnaire/Art36 database) is up-to-date, e.g. contact information, organisational changes http://www.efsa.europa.eu/**art36**

- May apply to calls for proposals launched by EFSA
- If awarded a grant, need to fulfil their tasks as stipulated in the grant agreement

Grants: tasks entrusted to MS organisations

- Data collection (e.g. food consumption, occurrence data)
  - Electronic Transmission of Chemical Occurrence Data

  open for applications until 1 July 2011!

- Preparatory work for development of scientific opinions (e.g. via literature review, synthesis, methodology development)
  - Scientific information on mycotoxins and natural plant toxicants
  - Project to develop Animal Welfare Risk Assessment Guidelines on Transport

- Other scientific/technical support, e.g. emerging risks:
  - Feasibility study on the use of farmer/producer associations/federations to form sentinel surveillance networks for adverse events in primary production

  open for applications until 15 July 2011!

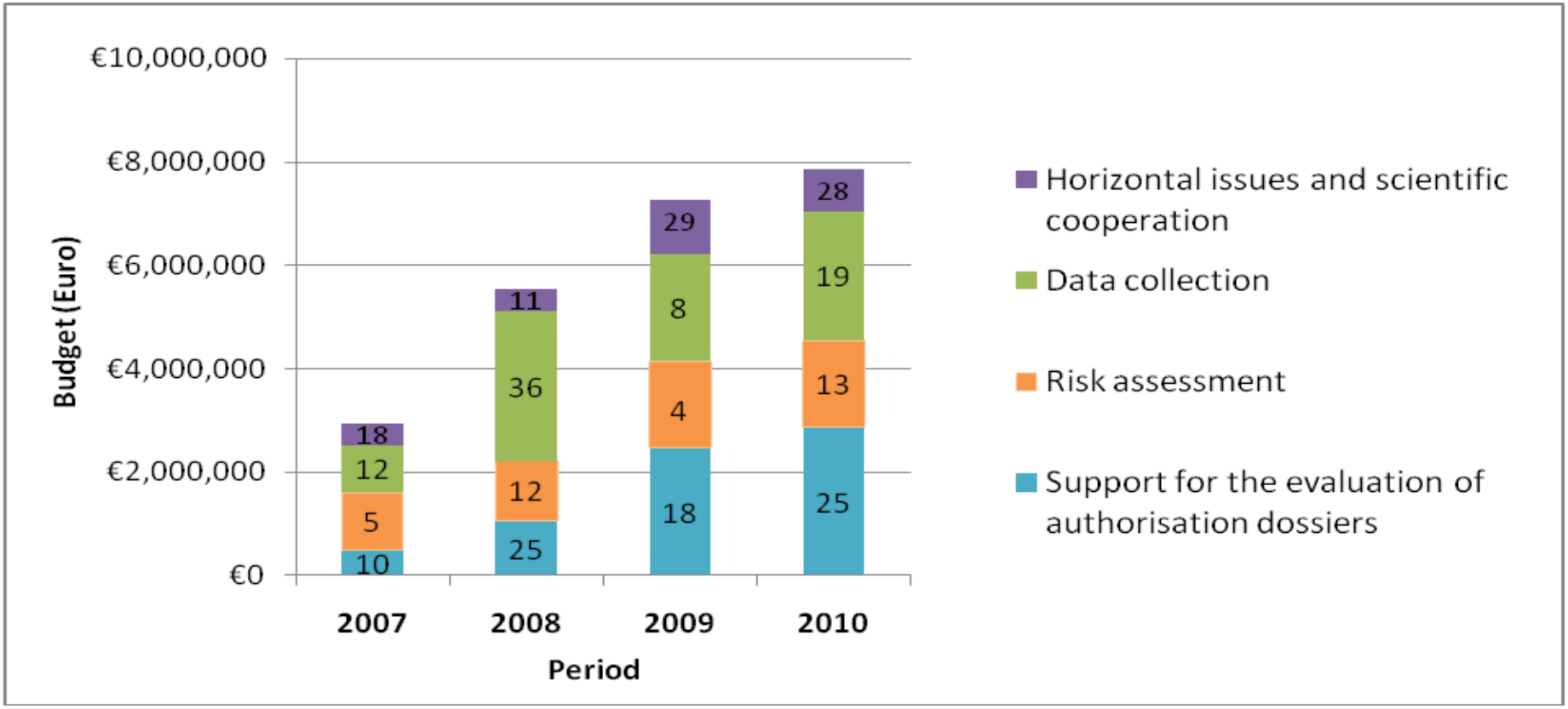
Procurement: additional cooperation opportunities for MS organisations

- About 70% of procurement projects carried out by organisations on the Article 36 list

- Opportunities for long-term capacity building, e.g. via multiannual framework contracts

Financial resources - EFSA

EFSA`s Science Projects (2007 - 2010) per areas of work



- **Overall increase of EFSA funds for grants and procurement (x3)**
- **Biggest share and largest increase in the area of authorisations**

Use of grants and procurement

- **Grants**

➢ mainly for preparatory work on general risk assessment issues (around 80 %)

➢ but also for data collection/analysis for risk assessment and monitoring purposes (around 50 %)

- **Procurement**

➢ mainly for preparatory work on authorisations/ applications (around 90 %)

➢ but also for data collection/analysis for risk assessment and monitoring purposes (around 50 %)

Grants vs Procurement (2007-2009)

efsa
European Food Safety Authority

	Procurement	Grants
Use	authorisations (90%) data collection	other risk assessment (80%) data collection
Admin. Procedure	– flexible: open – 35% 'multi-annual' – admin burden: lower	– standard: less flexible – not 'multi-annual' – admin burden: higher
Application rate	3.6 per call	1.5 per call
Success rate	97%	86%
Organisations involved	62 in total (70% on the Art 36 List)	45 in total
Networking	5% consortia 1.1 partners per project	60% consortia 3 partners per project
No. of projects	151	39
Budget, exec.	9.3 million €	6.7 million €

Examples of procurement calls

- Preparatory work related to authorisation dossiers:
  - draft preparatory documents, including toxicological and non-toxicological data, to support the preparatory work for the re-evaluation of food additives permitted in the European Union (4 yr framework contract) **open for applications until 31 May!**
- Data collection and analysis:
  - Preparation of EU summary report on antimicrobial resistance (4 yr framework contract)
  - Food market intelligence services (4 year renewable contract)
- General ad-hoc support:
  - Assistance to the Assessment Methodology Unit for statistical analyses, data management and ad hoc consultation upon request (4 yr framework contract) **open for applications until 20 June!**

Other “open” procurement calls

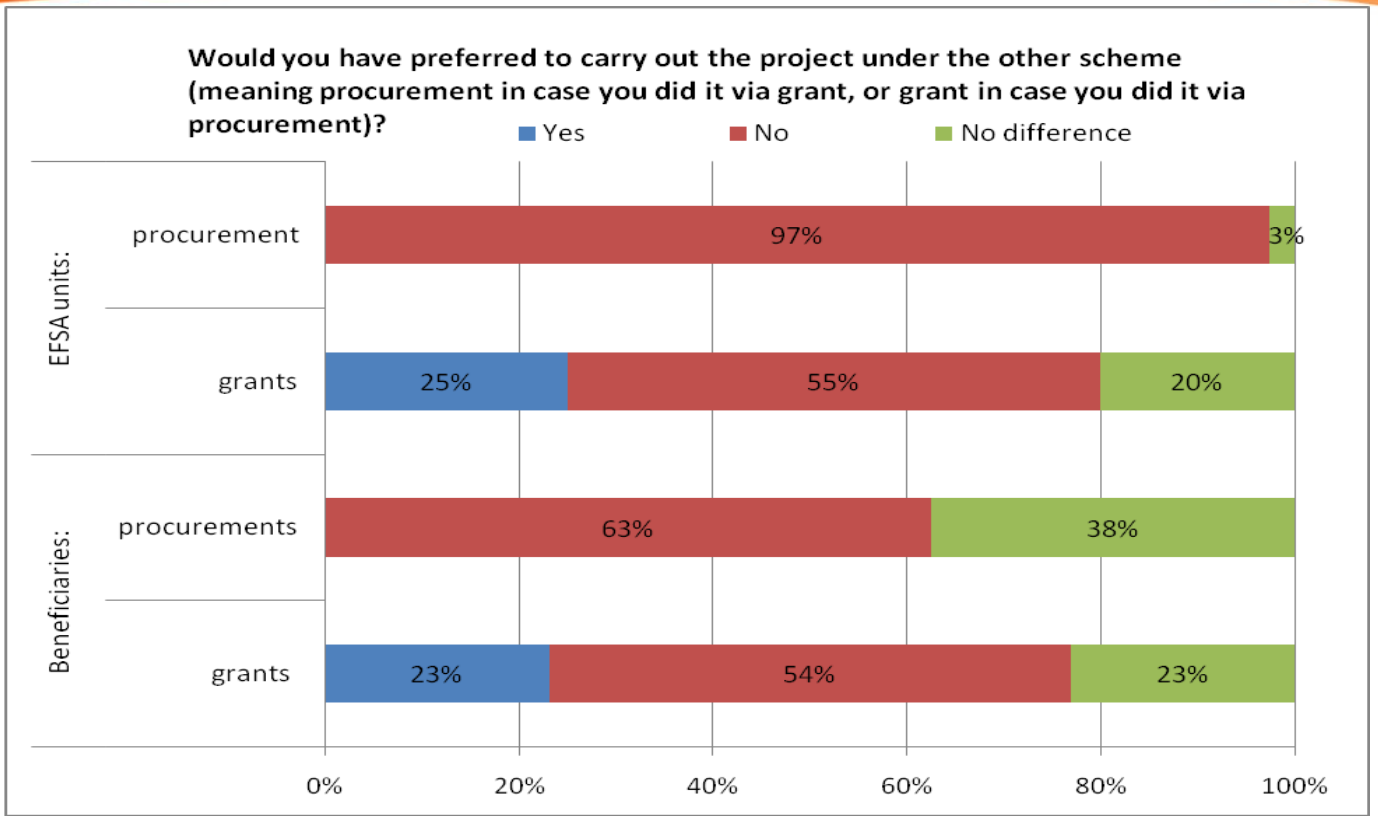
- Investigation of the state of the art on identification of appropriate reference points for the derivation of health-based guidance values (ADI, AOEL and AAOEL) for pesticides and on the derivation of uncertainty factors to be used for human risk assessment **open for applications until 16 August!**

- Literature search and review related to specific preparatory work in the establishment of dietary reference values **open for applications until 11 July!**

Comparison of Grants vs Procurement

Procurement		Grant
Buying things	Purpose	Giving money
EFSA	Ownership of outputs	Beneficiary (restricted)
Agreed price	Financial contribution	% Share of costs
Profit		Non-profit
F.R, Title V, Directive, *vade mecum*	Rules applying	F.R, Title VI, guide on grants
Call for tender (open/restricted/ negotiated)	Procedures	Call for proposals - restricted to Art. 36 list
Contract	Legal form	Grant agreement

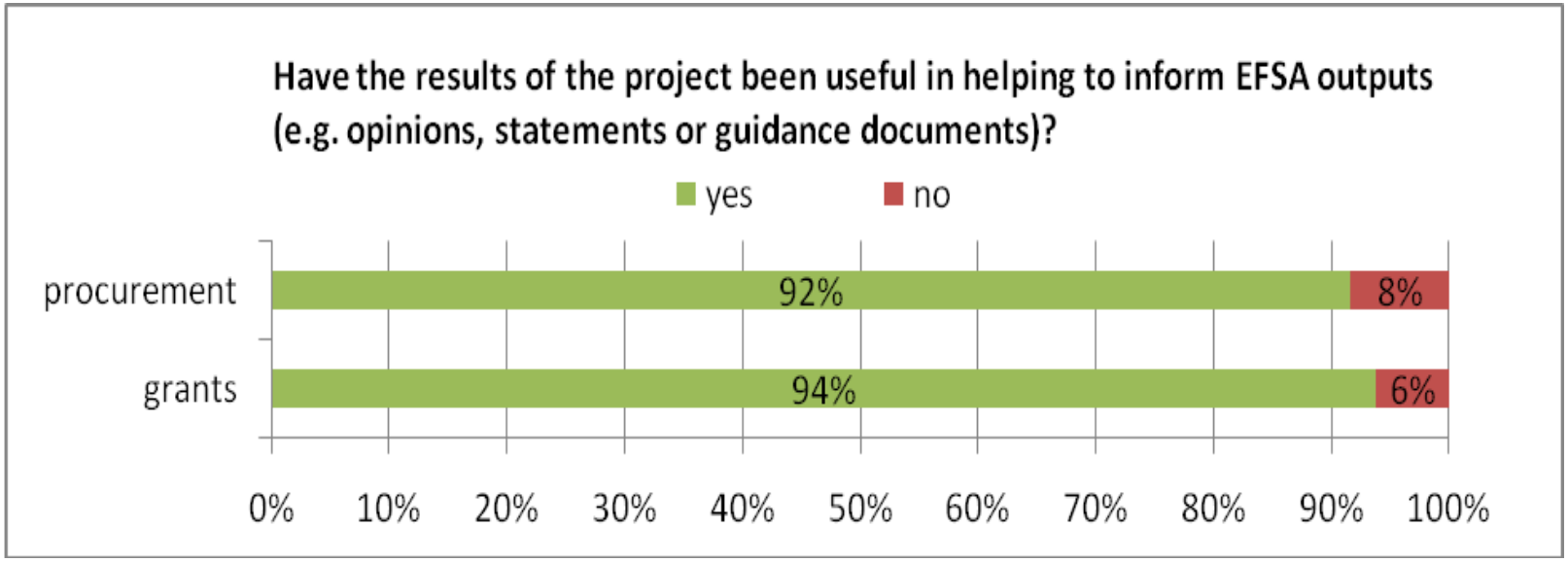
EFSA survey: grants vs procurement



approx. 20% of survey respondents would have preferred procurement

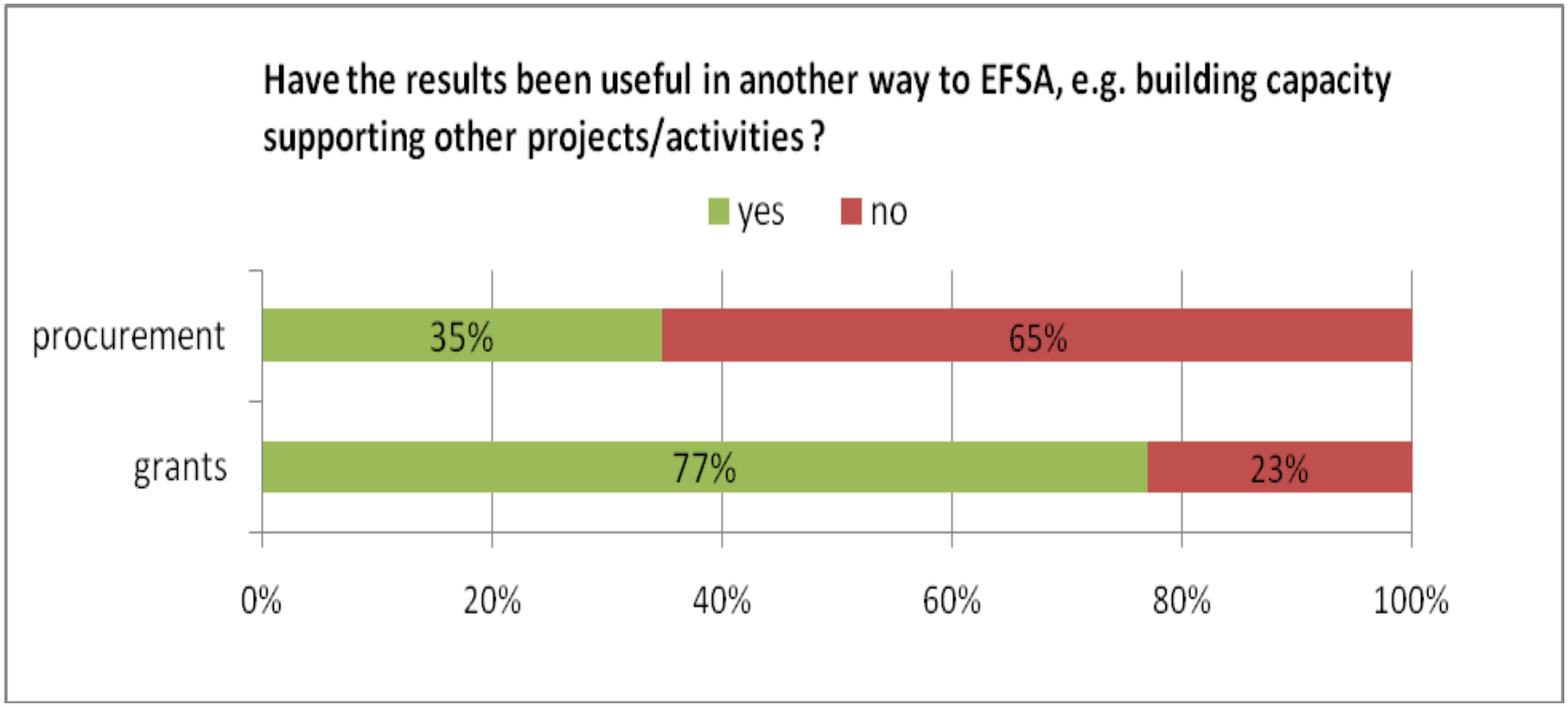
- **MS organisations: more complex administration for grants**
- **EFSA: limitations of Article 36 List**

EFSA survey: impact of grants and procurement



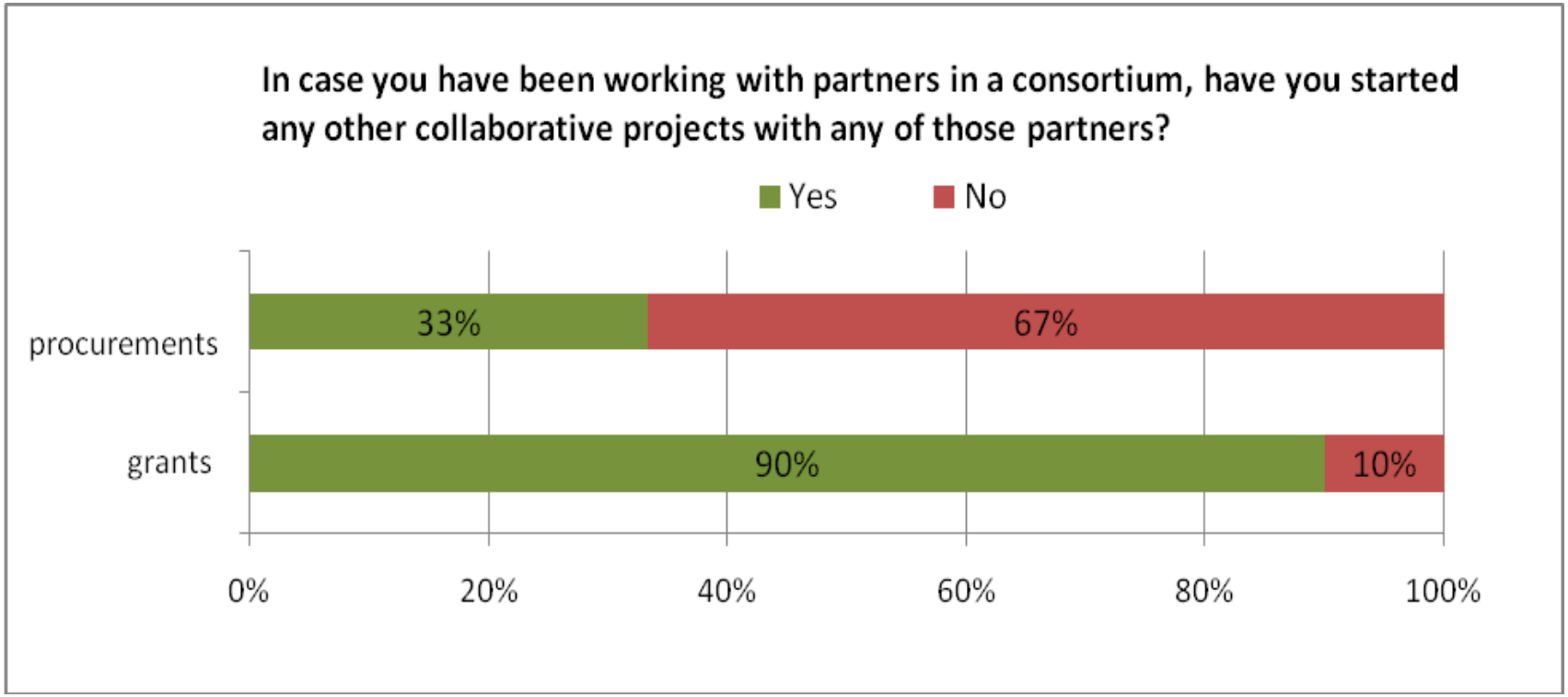
EFSA: grant and procurement results have both been useful and have contributed significantly to EFSA's work,…

EFSA survey: impact of grants



EFSA: grants have been particularly useful in contributing to building capacity and producing publications ….

EFSA survey: impact of grants



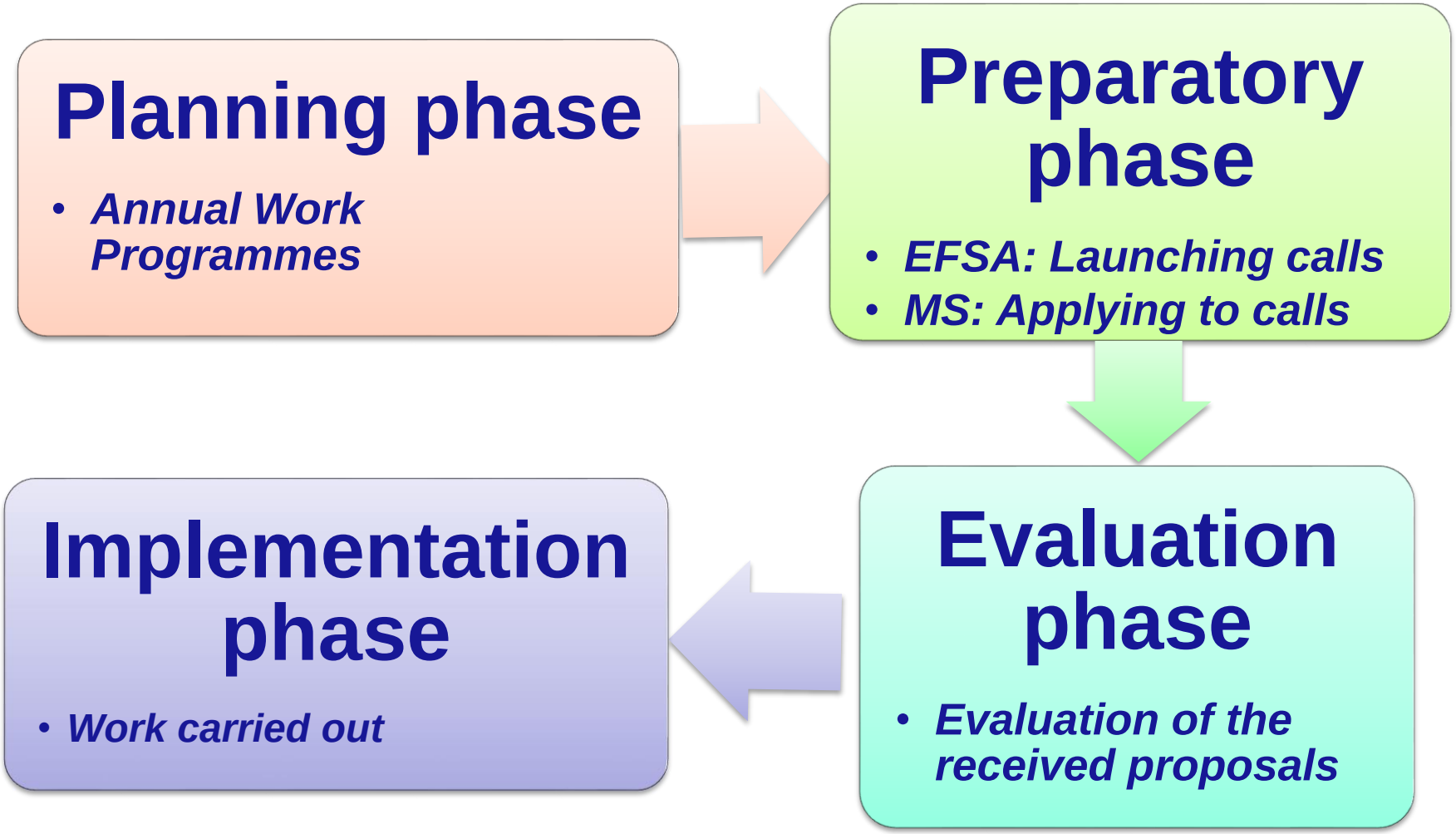
MS organisations: grants have been very effective in fostering the networking among the Member State organisations

The Article 36 list

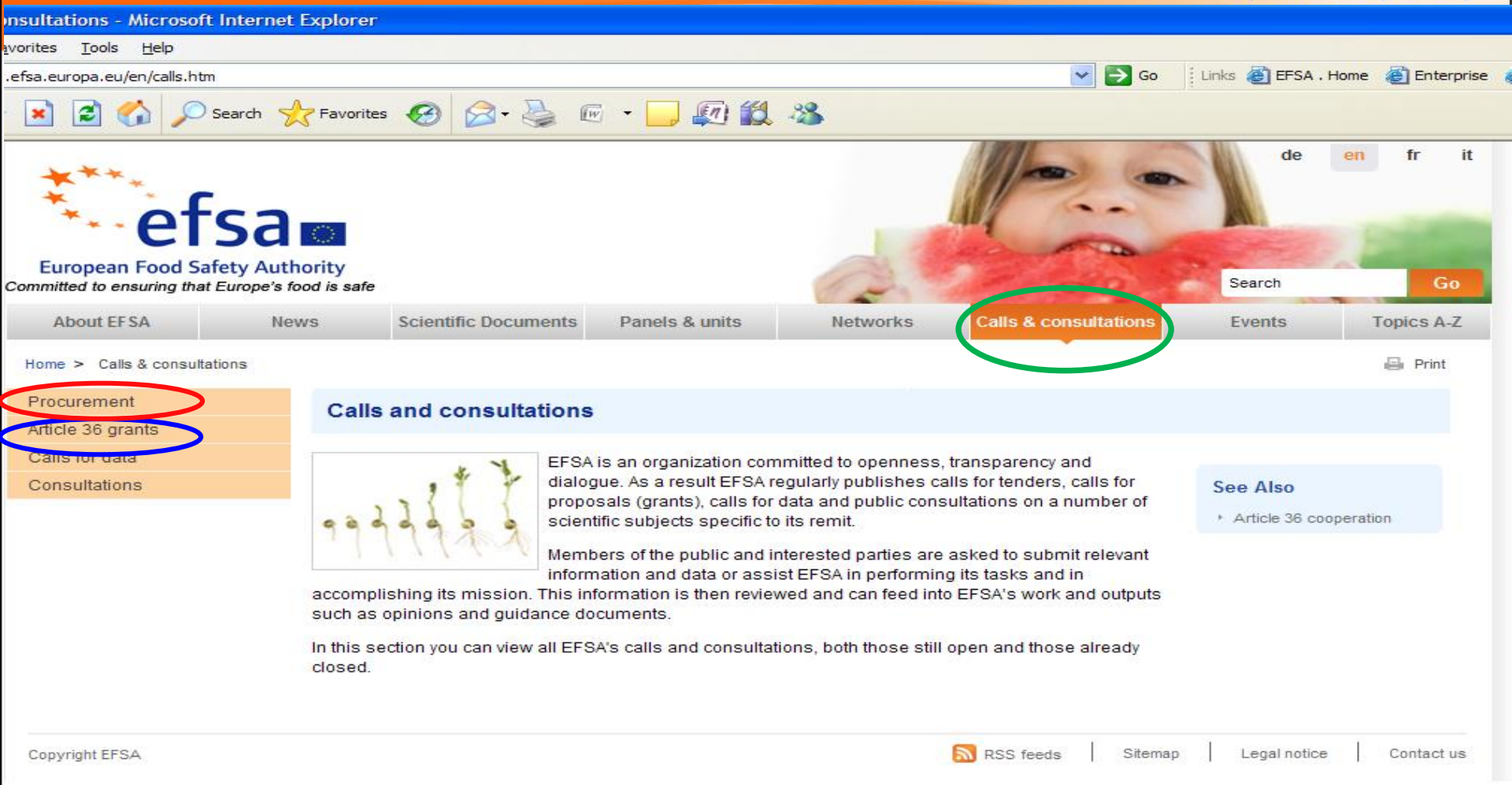
The application process

The new IT tool

Grants and procurement – The "project cycle"



Online information on the EFSA web



- **Calls planned for launch in current year**
- **Declaration of Interest in the context of EFSA procurement contracts and grants**

Application procedure

The main documents are the Call for proposals, the EFSA Standard Application Form and the Grant Agreement

- The **Call for Proposals** is the main document where the activities requested are described, together with the financial and legal aspects and the instructions on how to submit an application
- The **EFSA Standard Application Form** is the document to be completed and submitted by the applicant organisation(s) (deadline!)
- The **Grant Agreement** which will be signed at the end of the procedure by the successful applicant organisation(s) and EFSA

Looking for a partner?

- Article 36 Database search tool, by country and fields of competence

http://www.efsa.europa.eu/art36/**search**

- **Others** from your organisations who **should have access?**

Art 36 Database - Search Tool

Evaluation and selection of proposal

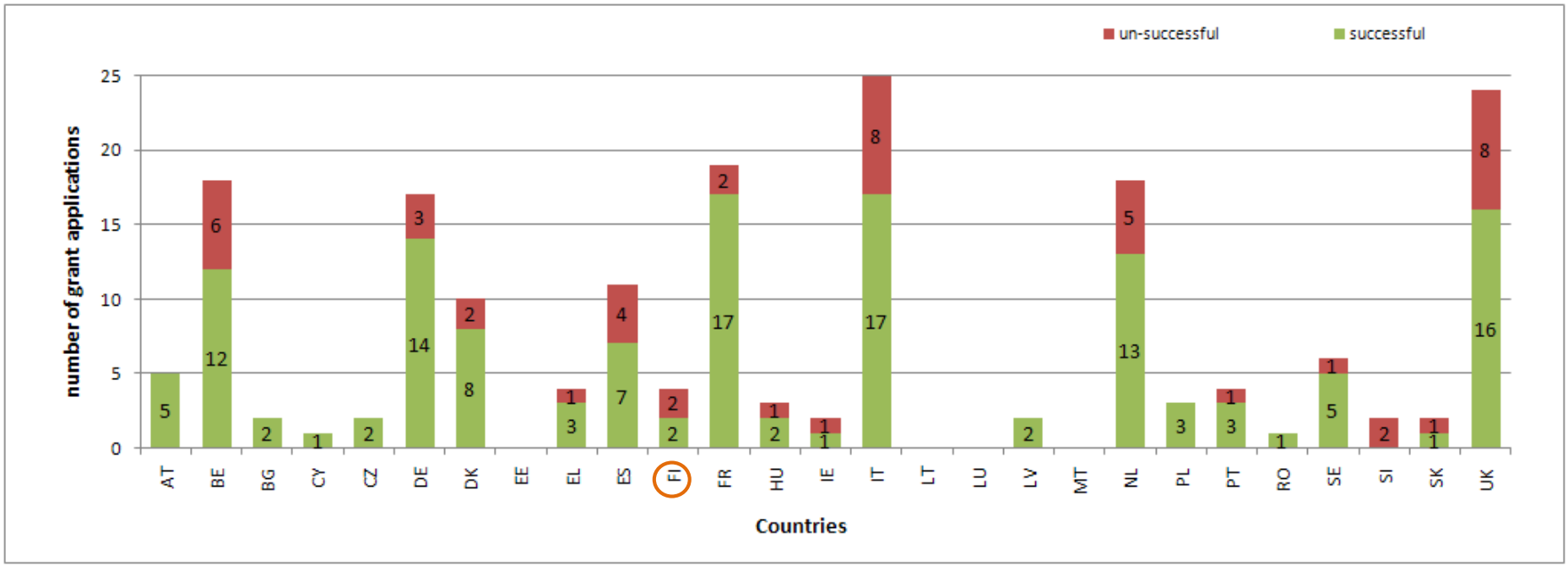
An evaluation committee selects admissible proposals through a procedure that involves 3 types of evaluation criteria*:

- ✓ **Eligibility and exclusion criteria**
- ✓ **Selection criteria**
- ✓ **Award criteria**

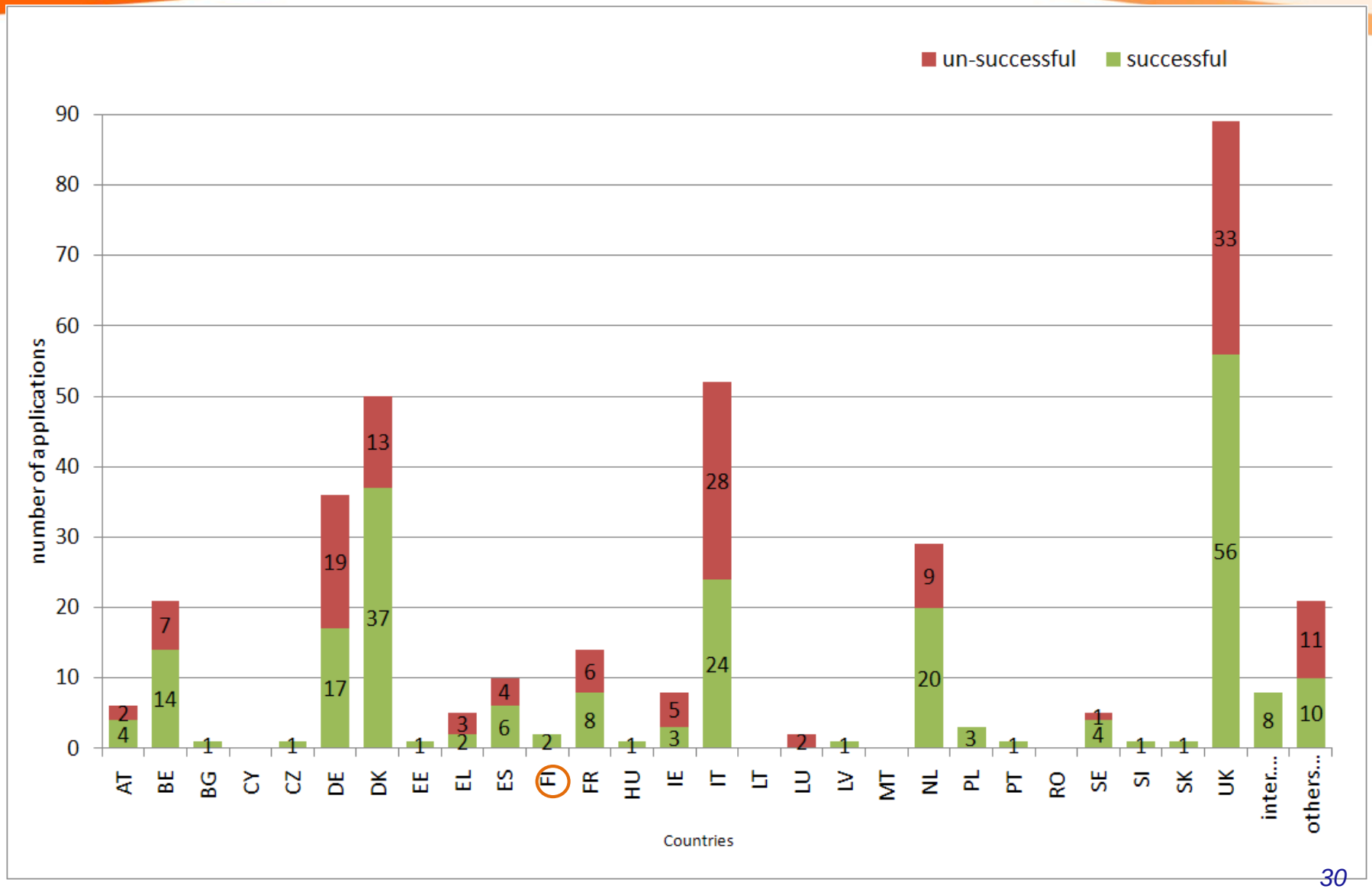
The highest ranked proposal will be awarded a grant

**These criteria are always stated in the Call for Proposal*

Science projects (grants) involvement



Science projects (procurement) involvement



Finnish involvement in the EFSA grants and procurements

Grants

2010: Pilot study in the view of a Pan-European dietary survey ' Adolescents, adults and elderly' - National Institute for Health and Welfare (THL)

2008: Individual food consumption data and exposure assessment studies for children
Finnish Food Safety Authority (EVIRA)

Procurement

2008: Collaboration Agreement for the provision and processing of food consumption data
National Public Health Institute

2010: Literature search and review related to specific preparatory work in the establishment of Dietary Reference Values - Three lots - Scientific cooperation for population reference intakes of micronutrients University of Helsinki

The Article 36 list

The application process

The new IT tools

New IT tools

1. **Article 36 Extranet Workspace (Art36-NET)**
2. **Article 36 Organisations Database**

Objectives

To facilitate

- **networking** among competent organisations on the Article 36 List and with EFSA
- the **project management** for signed grant agreements

Art.36-NET

Dedicated **platform on EFSA's SCIENCENET extranet** system for Article 36 Organisations

- **Main features:**
  - **Allows networking: e.g.** sharing documents, provinding links, a calendar, discussion fora
  - **Provides information on open, ongoing and finalised calls**
  - **Facilitates project management via project-specific workspace**
  - **Link to the search tool of the new Article 36 database**

Accessible logging in at the following **website**:
https://sciencenet.efsa.europa.eu

Access to the Art36-NET

- **Art36 organisations:**
  - **contact persons**
  - **Art36 project members**
  - **potential project members**
- **Focal Points**
- **Advisory Forum** members
- **EFSA staff**

Art36-NET Introduction

Welcome to the Article 36 Extranet Workspace (Art36-NET)

The Art36-NET provides a platform for designated Member State competent organisations within the context of Article 36 of EFSA's Founding Regulation (Art.36 organisations), to facilitate their networking and participation in calls for proposals launched by EFSA. The Art36-NET will include useful information for the organisations and will provide them with the opportunity to actively network e.g. via sharing information and initiating discussion fora in view of participating to EFSA calls for proposals.

The different sections of the Art36-NET are:

Networking:

- to search the contact details and competences of Art.36 organisations, e.g. in view of identifying potential partners for EFSA calls for proposals
- to share documentation of general interest, to initiate discussion fora and place announcements for relevant events in the networking calendar.

Open Calls:

- this section provides information, documentation, and the possibility to network within the context of the currently open calls for proposals launched by EFSA.

Ongoing and finalised projects:

- for an overview of ongoing and finished projects carried out within the context of EFSA calls for proposals (grants).
- participants in ongoing projects can access their project-specific workspace (activated following request).

Regarding general questions, please contact EFSA / Scientific Cooperation Unit at: Cooperation.Article36@efsa.europa.eu
For IT questions please contact SERVICEDESK at: servicedesk@efsa.europa.eu

Disclaimer

The European Food Safety Authority cannot accept any responsibility or liability whatsoever with regard to the information on this extranet workspace. Users are responsible for the lawfulness and lawful use of information provided or acquired via this extranet workspace. In this context special attention is drawn to respective obligations on confidentiality and protection of personal data that may apply to certain pieces of information, and as stipulated in the Terms of Use.

It is our goal to minimize disruption caused by technical errors. However some data or information on our site may have been created or structured in files or formats that are not error-free and we cannot guarantee that our service will not be interrupted or otherwise affected by such problems. The European Food Safety Authority accepts no responsibility with regard to such problems incurred as a result of using this extranet workspace or any linked external sites.

Announcements

New All Projects View

The **Art36-DATABASE**, a dedicated platform on EFSA's server, was launched on 21 May 2010! It was developed to assist the Member States and EFSA in the management (maintenance and updating) of the Art.36 organisations list, resp. electronic, online version of organisations` Questionnaires, and to support the networking among the Art. 36 organisations and between the organisations and EFSA. One of the Art36-DATABASE main features is the **search tool** for competent organisations' contact information and fields of competence. The link to this search tool is also available in this workspace, under the Networking page.

Posted by KURISOVA Martina on 10/06/10 11:23:13 in project Art 36 Public

The EFSA 2010 **annual work-programme for grants** (link) has been adopted by EFSA's Management Board . The first calls for proposals are under preparation for launching in 2010.

Posted by KURISOVA Martina on 10/06/10 12:18:57 in project Art 36 Public

The Article 36 Extranet Workspace was launched on 17 December 2009!

Posted by KURISOVA Martina on 10/06/10 12:18:43 in project Art 36 Public

Guidance documents

Edit Links

Advices

Art36-NET User Guide

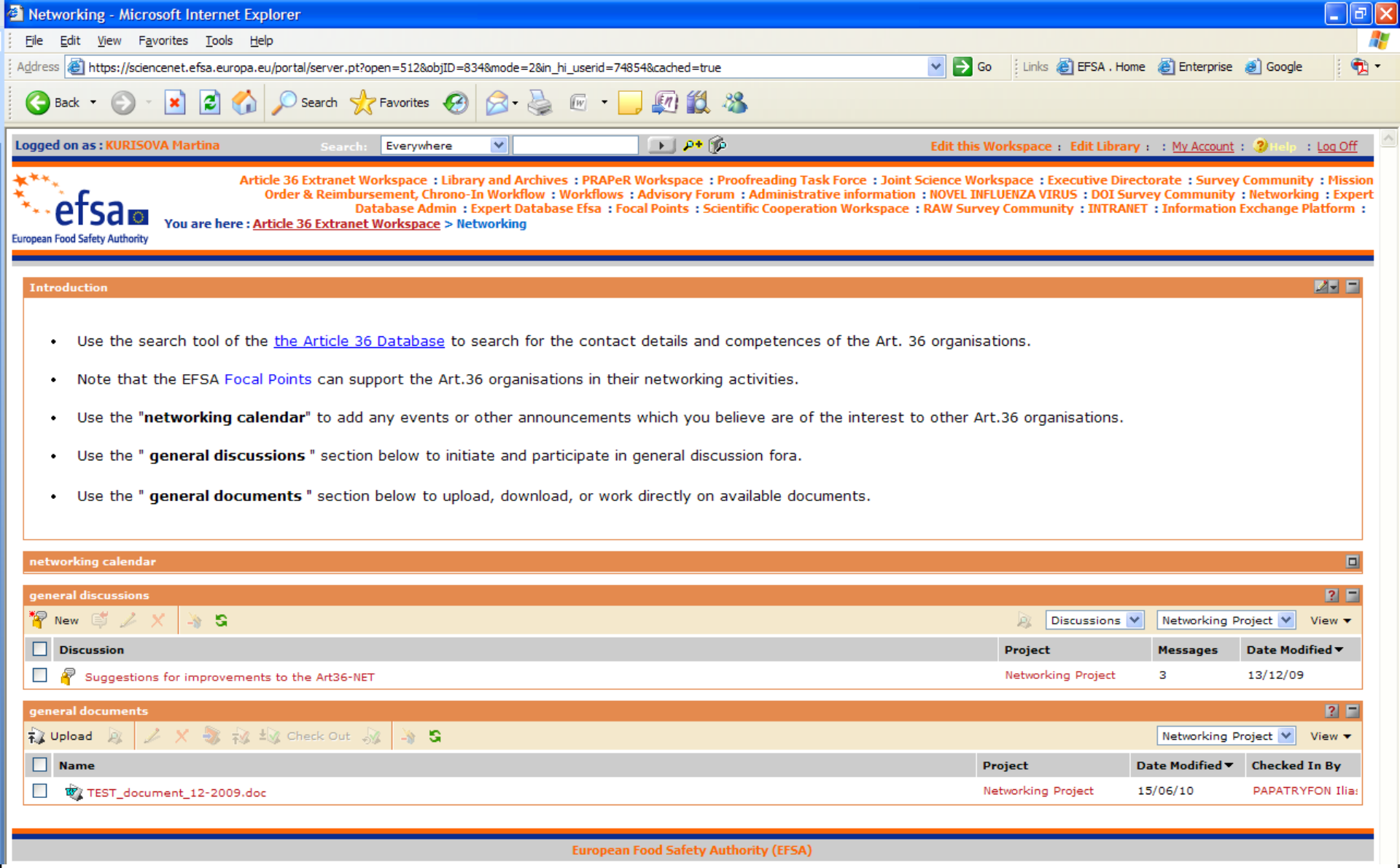
Library

Edit Links

Interesting Links

01 Art.36 Website

02 Art.36 List

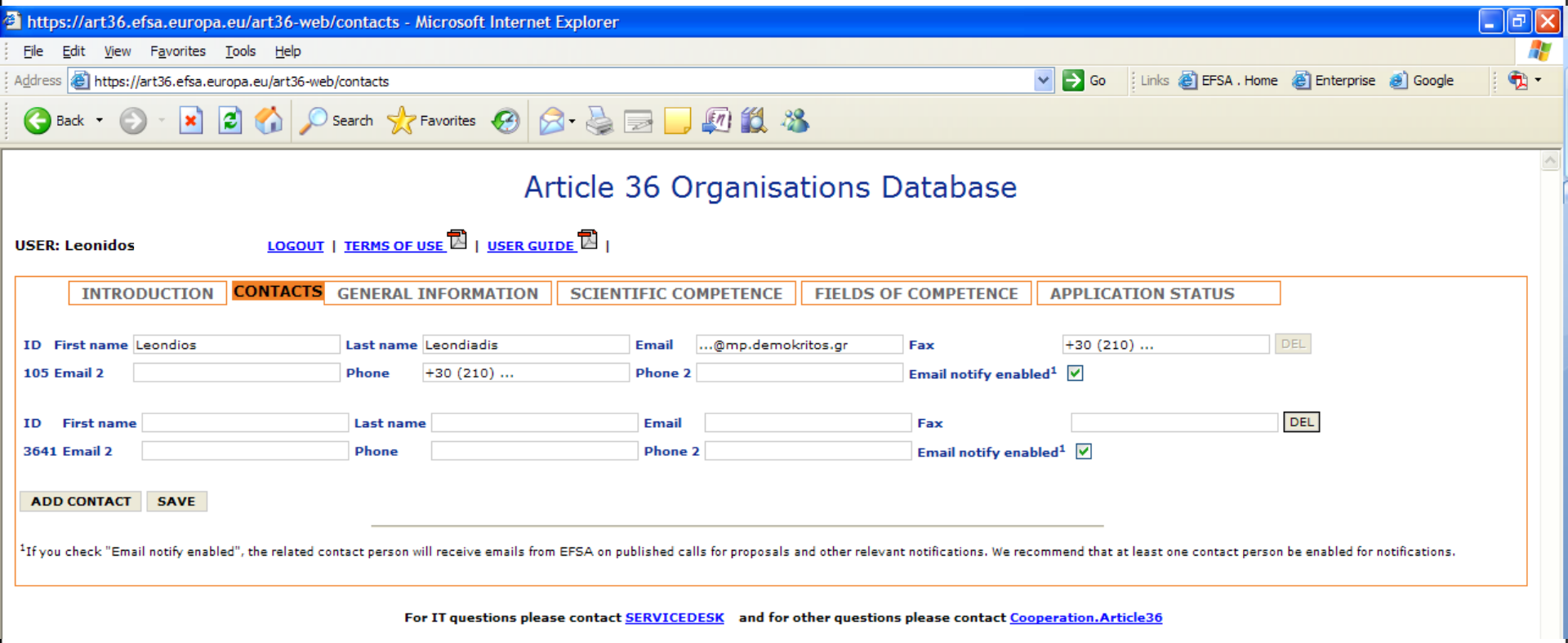

Networking - Microsoft Internet Explorer
File Edit View Favorites Tools Help
Address https://sciencenet.efsa.europa.eu/portal/server.pt?open=512&objID=834&mode=2&in_hi_userid=74854&cached=true
Go Links EFSA . Home Enterprise Google
Back Search Favorites
Logged on as : KURISOVA Martina
Search: Everywhere
Edit this Workspace : Edit Library : : My Account : Help : Log Off
efsa
European Food Safety Authority
Article 36 Extranet Workspace : Library and Archives : PRAPeR Workspace : Proofreading Task Force : Joint Science Workspace : Executive Directorate : Survey Community : Mission Order & Reimbursement, Chrono-In Workflow : Workflows : Advisory Forum : Administrative information : NOVEL INFLUENZA VIRUS : DOI Survey Community : Networking : Expert Database Admin : Expert Database Efsa : Focal Points : Scientific Cooperation Workspace : RAW Survey Community : INTRANET : Information Exchange Platform :
You are here : Article 36 Extranet Workspace > Networking
Introduction
• Use the search tool of the the Article 36 Database to search for the contact details and competences of the Art. 36 organisations.
• Note that the EFSA Focal Points can support the Art.36 organisations in their networking activities.
• Use the "networking calendar" to add any events or other announcements which you believe are of the interest to other Art.36 organisations.
• Use the " general discussions " section below to initiate and participate in general discussion fora.
• Use the " general documents " section below to upload, download, or work directly on available documents.
networking calendar
general discussions
New
Discussions
Networking Project
View
Discussion
Project
Messages
Date Modified
Suggestions for improvements to the Art36-NET
Networking Project
3
13/12/09
general documents
Upload
Check Out
Networking Project
View
Name
Project
Date Modified
Checked In By
TEST_document_12-2009.doc
Networking Project
15/06/10
PAPATRYFON Ilia
European Food Safety Authority (EFSA)

Art36-Database

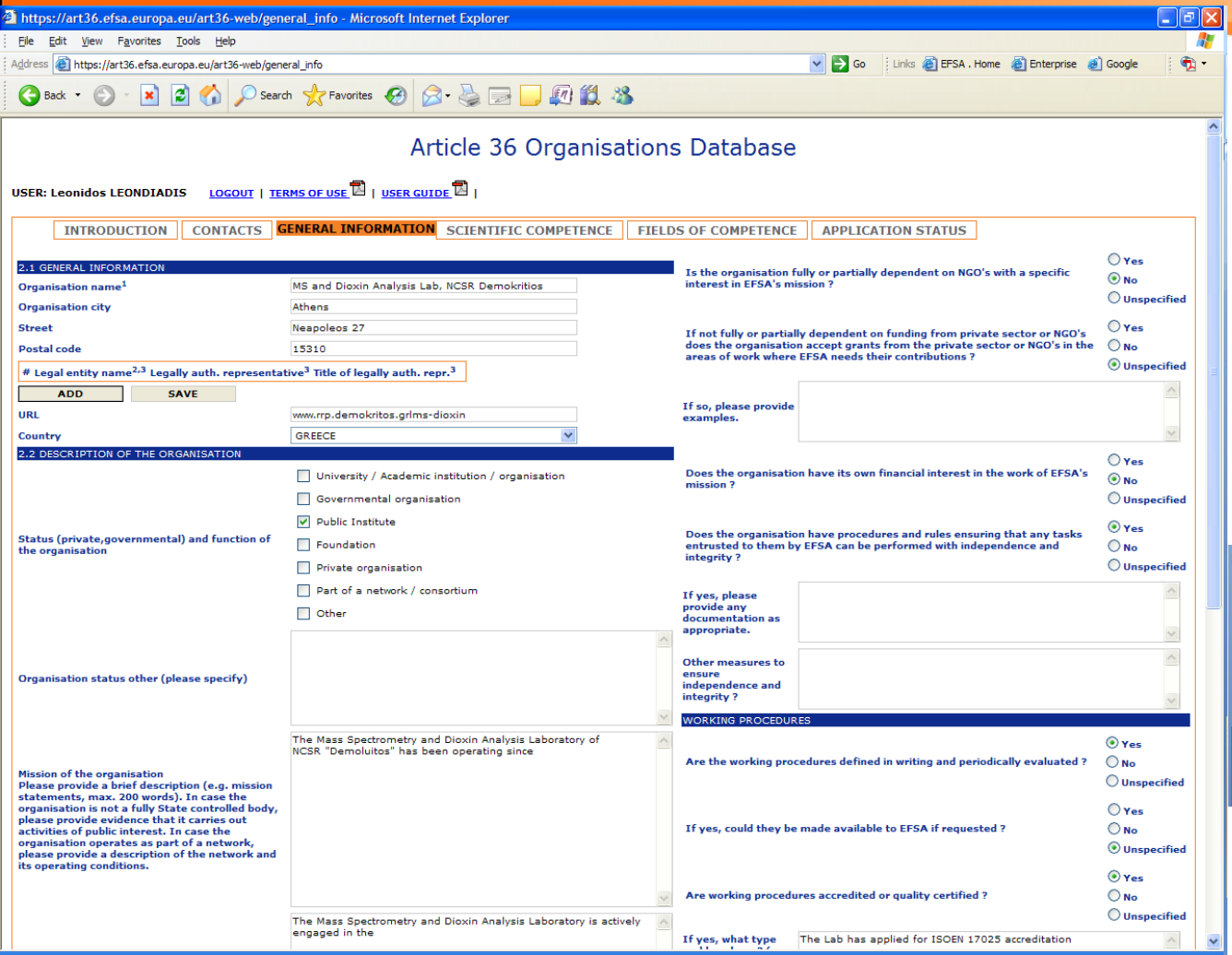
- **Database accessible via the internet (password protected)**
- **Objective: To assist MS and EFSA in the maintenance and updating of the list of competent organisations**
- **Main features:**
  - **Art 36 organisation contact person: update questionnaire** http://www.efsa.europa.eu/**art36**
  - **Focal Points: access to all Questionnaires (pdf)**

    http://www.efsa.europa.eu/**art36/focalpoint**
  - **All users: search for contact details and competences** http://www.efsa.europa.eu/**art36/search**
  - **New designated organisation: fill-in new questionnaire** http://www.efsa.europa.eu/**art36/registration**

Contact person(s) details



General Information



[1]The name must correspond to the information provided in the different fields of the questionnaire (i.e. if only part of designated, e.g. a department, the information in this questionnaire should relate to that specific part).

[2]Organisation's full legal name, under which it can enter into an agreement with EFSA.

[3]If more than one, please insert additional rows.

INDEPENDENCE AND INTEGRITY

Is the organisation fully or partially dependent on private sector funding Yes No Unspecified

Unspecified

If yes, what type and by whom ? (e.g. ISO, OECD/GLP, national accreditation board) The Lab has applied for ISOEN 17025 accreditation

Does the organisation accept external assessments concerning the fulfillment of the requirements ? Yes No Unspecified

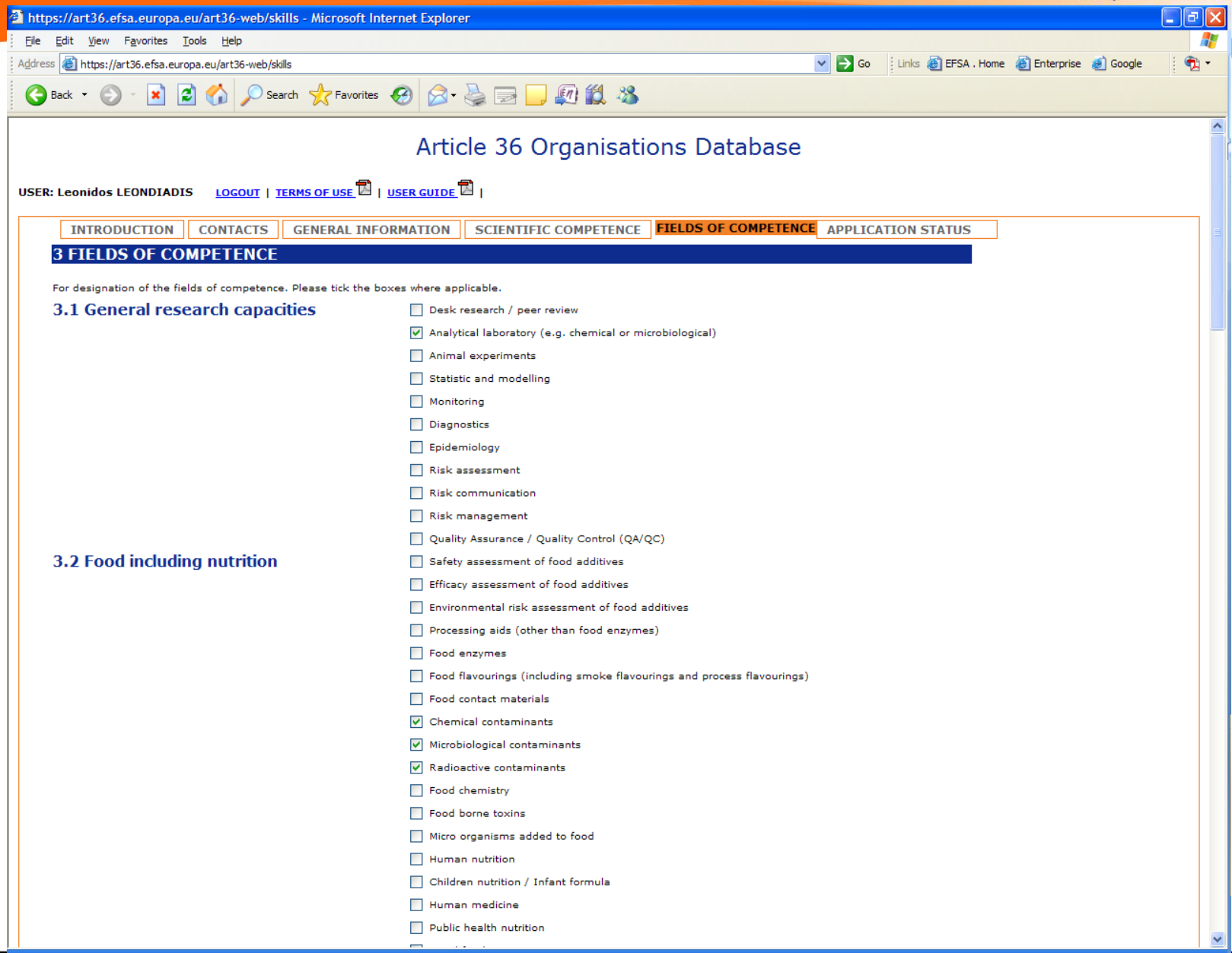
CONFIDENTIALITY

Are there specific legal obligations for the organisation's staff and / or experts to maintain confidentiality of information and documents ? Yes No Unspecified

If yes, provide examples

Is there a written obligation and enforcement for the staff to respect demands concerning confidential information and external communication ? Yes No Unspecified

Fields of competence



Application status

Art 36 database - workflow

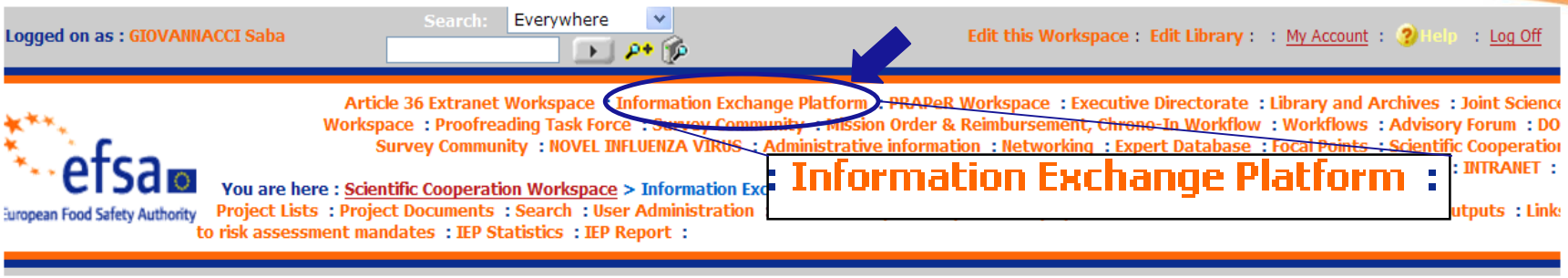
- **Art36 organisations: Update data and submit updated questionnaire(s)**
- **EFSA: assess changes and approve/reject/request update**
  - **Change may require notification via the MS Permanent Representation**
- **Focal Points can check the questionnaires for their country (pdf version)**

Information Exchange Platform (IEP)

What is the IEP?

- The IEP is an extranet site developed and managed by EFSA in cooperation with Member States
- A platform for Member States, EEA/EFTA countries and EFSA to facilitate the exchange of risk assessment outputs undertaken by official bodies in the different Member States
- National Focal Points collate and upload documents from their country
- Users can view and download documents of interest

IEP sections



IEP Announcement

Welcome to the IEP

The Information Exchange Platform provides a platform for Advisory Forum, Focal Point Members and EFSA to facilitate the exchange of risk assessment outputs undertaken by the official bodies in the different Member States.

The different sections are:

1. Risk Assessment Outputs
   (Opinion, Statement, Guidance Document, Technical report, Risk assessment mandate)
2. Work Plans by Country
   (Annual, Strategic)
3. Country Specific Information
   (Country Profiles,Quality Manual, Crisis Manual)

Search

Links to websites
- Links to risk assessment outputs
- Links to risk assessment mandates

IEP Project List

Edit Links

IEP

Working Group on the IEP

Library

Edit Links

IEP User Guide

IEP User Guide

Terms of use

IEP Monthly Reports

Edit Links

Monthly reports

1. IEP Report March 2009
2. IEP Report April 2009
3. IEP Report May 2009
4. IEP Report June 2009
5. IEP Report July 2009
6. IEP Report August 2009

Recently Uploaded Documents

Document Name	Project Name	Date of upload
	03 Biological hazards	

IEP - Risk Assessment section

01.Animal health and welfare (AHAW)

- Animal disease
- Animal welfare
- Bee Mortality
- Others

02.Assessment Methodology (AMU)

- Meth. review-harmonisation of risk assessment
- Modelling and quantitative risk assessment
- Others

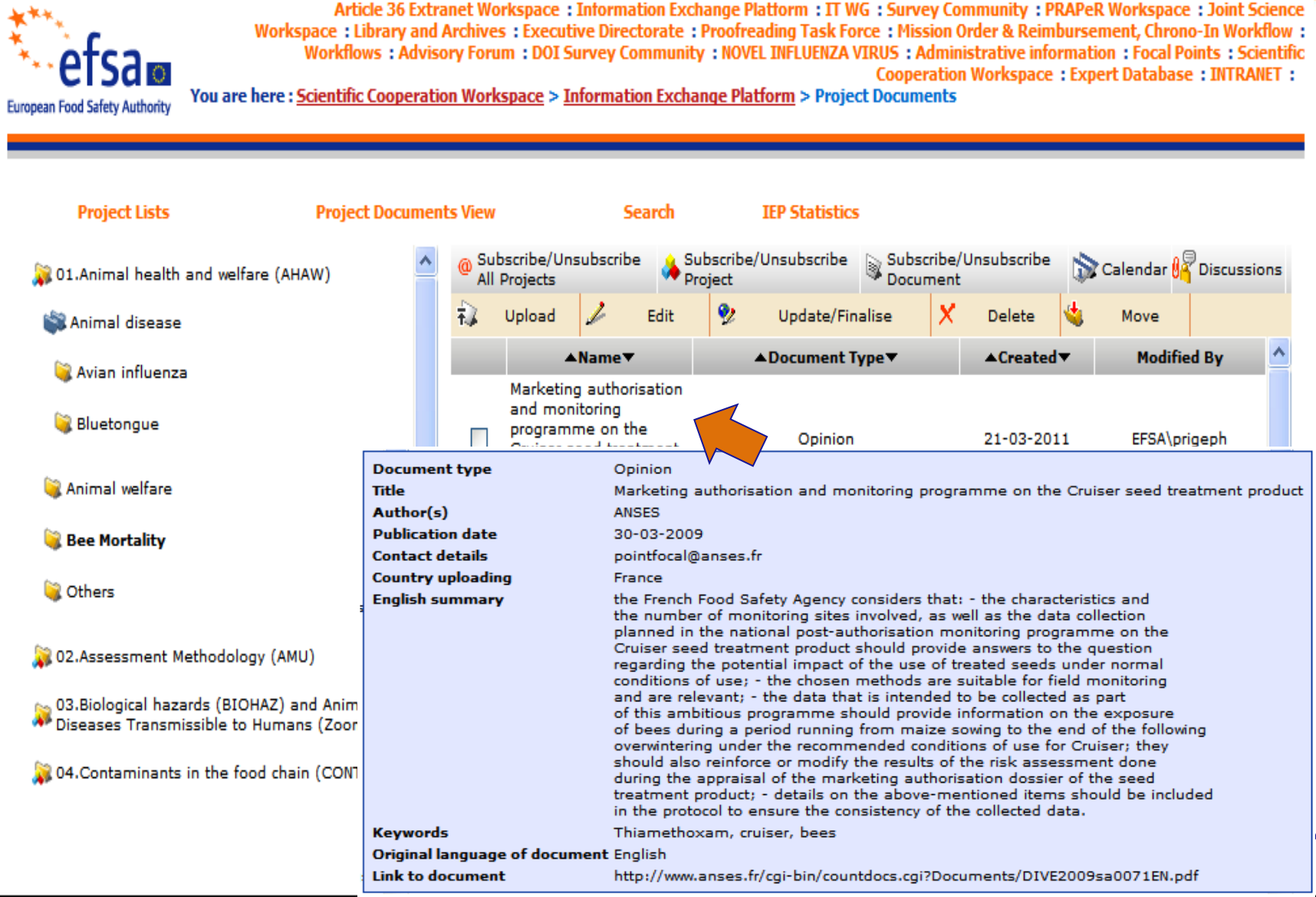
03.Biological hazards (BIOHAZ) and Animal Diseases Transmissible to Humans (Zoonoses)

- BSE cattle
- Biogenic amines
- Foodborne zoonoses
- Novel Influenza Virus

04.Contaminants in the food chain (CONTAM)

- Contaminants in food
- Others
- Process contaminants in food-feed

IEP - Risk Assessment section



Features of the IEP

- Subscription to notifications
- IEP reporting tool
- IEP statistics tool
- Advanced search function
- Print search results

Monthly IEP Reports

- Collection of all risk assessments uploaded in given month
- Distribution to all users via email

visit:

https://sciencenet.efsa.europa.eu/portal/server.pt

access via username and password

Comments/questions/suggestions:

IEP@efsa.europa.eu

Help on technical issues:

servicedesk@efsa.europa.eu

Art. 36 and Focal Points

Role of Focal Points…

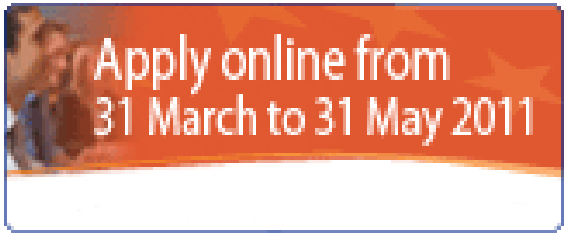
- Act as an **interface** between EFSA and the Article 36 organisations

- **Support** Article 36 organisations and EFSA e.g. regarding
  - Article 36 list updating
  - Application to calls

Please also check:

- EFSA's Expert DataBase

- Call for new Scientific Committee and Panel members 2011



http://www.efsa.europa.eu/

Thank you for your attention!